



PRIVACY POLICY AND PRACTICES

We have always valued the trust our clients have placed in us and are committed to the responsible management, use, and protection of our clients' personal information. We are proud of our privacy and confidentiality practices, and we want you to know how we protect your information and use it to serve you. Please take a moment to review our privacy policy, as described below.

Gathering Information

Third Eye Associates, Ltd. collects personal information about you to help us serve your financial planning needs, provide customer service, and fulfill legal and regulatory requirements. Third Eye Associates, Ltd. collects nonpublic personal information from you, as well as from other sources.

The sources and the information collected may include:

- Information you provide to us, or to your Advisory Representative on applications and related forms, through discussions with our customer service staff, or on our website, such as address, social security number, birth date, net worth, assets, income, personal and medical information.
- Information regarding your transaction history with us, such as services purchased, account balances and history, and payment history, as well as information from information service companies and consumer reporting agencies, such as your transactions or credit relationships with unaffiliated third parties.
- Information from other unaffiliated third parties, including employers, associations, benefit plan sponsors, and other institutions such as address, social security number, net worth, assets, and income.

Sharing Information

We may disclose any of the nonpublic personal information that we collect about you, as required to conduct our business and as permitted or required by law. We may share information about our clients with unaffiliated financial or nonfinancial services providers, such as broker dealers, insurance companies, mutual fund companies, banks, investment firms, third-party administrators, clearing firms, and other third parties who assist us in providing customer service to your account(s). We also may share your information with regulators and law enforcement organizations or in response to a subpoena or discovery request, as permitted or required by law.

We do not sell, share, or disclose your nonpublic personal information to unaffiliated third-party marketing companies.

If the Advisory Representative servicing your account(s) leaves Third Eye Associates, Ltd., the Advisory Representative may retain copies of your personal information so that he or she can continue to serve you at the new firm. In doing so, your Advisory Representative may share your information with the new firm but is otherwise required to keep confidential the personal information obtained from you while the Advisory Representative was affiliated with Third Eye Associates, Ltd., and he or she may only use it to service your account.

Opting In

If you live in an "opt-in" state, where we are required to obtain your affirmative consent to share your nonpublic personal information with unaffiliated third parties who do not currently assist us in servicing your account, we will obtain your consent before we allow your Advisory Representative to take your information with him or her should your Advisory Representative leave Third Eye Associates, Ltd..

Please note: You can withdraw your consent at any time by contacting the Compliance Officer at: 845-752-2216.

Opting Out

If you live in an “opt-out” state and do not want your Advisory Representative to take your information with him or her should your Advisory Representative decide to leave Third Eye Associates, Ltd. in the future, you have the right to opt out of such disclosure. If you have a joint account, we will treat an opt-out direction by a joint client as applying to all associated joint clients. If you wish to opt out, now or at any time in the future, please contact our Compliance Officer at 845-752-2216.

Safeguarding Your Privacy

Third Eye Associates, Ltd. recognizes the need to prevent unauthorized access to the information we collect, including information held in electronic format. Third Eye Associates, Ltd. authorizes access to your nonpublic personal information only to personnel who need that information to serve you. Third Eye Associates, Ltd. maintains physical, electronic, and procedural safeguards regarding your nonpublic personal information to ensure that we comply with our own policy, industry practices, and state regulations. Third Eye Associates, Ltd. trains our employees in the proper handling of sensitive information. If you decide to close your account(s) or become an inactive customer, we will adhere to the privacy policies and practices as described in this notice.

Cookies

Cookies are very small files placed on your computer’s hard drive the first time you visit a website. Cookies enable servers to “remember” you for your next visit to the website. Cookies do not extract private or personal information from your computer’s memory, they only record information relating to your website visit. Third Eye Associates, Ltd.’s server also uses cookies to track the usage of our website and to address security issues. We may also use cookies to store your preferences relating to the use of our website. If you do not want to allow our website to place a cookie on your computer, you can change your browser’s settings to deny cookies, this may, however, affect your experience with certain features of our website.

Questions

You do not have to contact us to benefit from Third Eye Associates, Ltd.’s privacy protections; they apply automatically to all of our clients and former clients. If you have any questions after reading this privacy policy, however, we encourage you to contact your Advisory Representative or write to Third Eye Associates, Ltd. at 38 Spring Lake Road, Red Hook, New York 12571.